

TICK IF AUDIT REQUIRED

TICK IF ITEM IS TO BE ACTIONED



# Change of Parties to a Savings/Investment Account

## Names

Account holders: \_\_\_\_\_

Account number(s)

NB Only use this form for more than one account where the account holders are the same on each account

Name to be deleted \_\_\_\_\_

Name to be added:

Title \_\_\_\_\_ 1st name \_\_\_\_\_ 2nd name \_\_\_\_\_

other initials \_\_\_\_\_ Surname \_\_\_\_\_ Date of Birth \_\_\_\_\_

Where a name is added, if the address is different to that registered on the account, please give full address and telephone number below:

House name/number \_\_\_\_\_ Town \_\_\_\_\_

Street name \_\_\_\_\_ County \_\_\_\_\_ Postcode \_\_\_\_\_

District \_\_\_\_\_ Country (if not UK) \_\_\_\_\_

Telephone No. Home (Inc. STD code) \_\_\_\_\_ Telephone No. Work (Inc. STD code) \_\_\_\_\_

I apply to be added to the above account(s). I have read and understood the declaration overleaf, and agree to its terms and have read and understood the terms of the account.

Signature to be added \_\_\_\_\_ Date \_\_\_\_\_

**Joint Accounts** - A separate authority in writing by all of the Joint Account Holders (see below) must be given before the Society can allow the Account to be operated on a signature of any one of the Joint Account Holders or as an Agent on behalf of them.

**Either Signature Authority - Joint Accounts Only** - We agree that either or that any one of us may withdraw any or all of the money in the Account and give a good receipt to the Society. As the account is operated on an "any to sign" basis we are aware that in the event of a relationship breakdown, your finances could be adversely affected. The Society may also rely on the signature of either of us for purposes connected with the Account. This authority may be terminated by either or any one of us but not so far as to affect previous withdrawals or other dealings with the Account.

**Signatures** (all joint investors sign) Signature \_\_\_\_\_ Date \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

I/We authorise the amendments to my/our account, as detailed above  
Signatures(s) of existing account holder(s) \_\_\_\_\_ Date \_\_\_\_\_

FOR SOCIETY'S USE ONLY	Identification details	
	Applicant 1 - face to face Y / N	Applicant 2 - face to face Y / N
Name ID		
Type of ID		
Issuer (and country of issue if passport)		
Reference number		
Date of issue / expiry		
Name		
Address ID		
Type of ID		
Issuer		
Reference number		
Date of issue / expiry		
Name		
Additional ID (non face to face)		

For office use

Branch number

Amendment completed by Branch YES / NO

Signature(s) verified by (initials)

New Profile code (if applicable)

For office use

CSC:

Amendment completed by CSC YES / NO

Amendment completed by (initials)

Amendment checked by (if applicable)

Britannia undertakes to comply with the Banking Code, copies of which are available on request.



## Declaration

I (each of us if more than one is applying) understand and agree that:

- a) the information I have given is full and correct and I authorise Britannia to make enquiries to confirm this information and to update my account records where appropriate;
- b) you may decide to decline my application;
- c) conditions apply to this account, as well as your Rules. I can obtain copies of each from any of your branches;
- d) the money I am investing in this account is my own and I do not hold it as trustee or nominee;
- e) I understand the nature of the product;
- f) I accept that, if the balance in the account falls below the minimum specified for that account, the Society reserves the right to pay any interest rate, including zero.

### DATA PROTECTION

- g) the data controller is Britannia Building Society whose principal office is Britannia House, Cheadle Road, Leek, Staffordshire Moorlands, ST13 5RG;
- h) the information which I provide or which you obtain through my dealings with you or in connection with my account will be held on the Society's computer systems and other records;
- i) my customer data may be exchanged and disclosed within the Society, its subsidiary companies and authorised agents to enable a review to take place of my total relationship with the Britannia Group;
- j) my customer data may be used to make lending decisions, assist credit assessment, collect debts and prevent fraud;
- k) my customer data may be used for market research purposes, developing goods and services, statistical and business analysis, account administration and creating and maintaining a customer profile;
- l) my customer data may be disclosed to appropriate regulatory authorities (including regulators of voluntary Codes of Practice), any other body having a legal right to the information and anyone I appoint to administer or operate my account;
- m) telephone conversations between us may be recorded and/or monitored for both internal training and/or to ensure accurate operation of my account and I also understand that information about my account may be used for internal customer monitoring;
- n) I have the right to access the information held (you reserve the right to charge an administration fee) and to have any incorrect information corrected; and
- o) **you will never share any information about me and my account outside the Britannia Group for marketing purposes.** As part of your service Britannia Group may occasionally keep me informed of special offers, products and services. If I do not wish to receive this information I can tick the box .

**IF YOU ARE ALREADY A SHAREHOLDING MEMBER OR A BORROWING MEMBER OF THE SOCIETY AT THE TIME OF SIGNING THIS FORM, THE FOLLOWING WORDING IN PARAGRAPH (p) BELOW DOES NOT APPLY TO YOU. PLEASE GO TO THE SIGNATURE BOXES.**

### CONVERSION BENEFITS

- p) by applying to open a share account on or after 8th April 1998, I agree with the Society and Britannia Building Society Foundation ("the Foundation") that I will assign to the Foundation (or to any charity(ies) nominated by it, but to no other person) the rights to any relevant conversion benefits (defined below). But this obligation will not apply to me if I fall within any class of persons which at today's date the Society wishes to be excluded from such obligation. My agreement is irrevocable and authorises the Society to make over to the Foundation (or to any charity(ies) nominated by it) any such benefits without further notice to me. I understand that neither the Society nor the Foundation will release me from my agreement or vary its terms.

I understand that (except in the case of any class of person where, at the time of their becoming a Member, the Society considers this to be inappropriate) the Society will require (on behalf of itself and the Foundation) that all applicants for share accounts who are not Members of the Society will agree to the above condition (or a condition having substantially the same effect), unless the Society decides and announces by press release that it is no longer in the best interests of the Society to do so generally on a continuing basis. Any such decision by the Society would not have retrospective effect and I would continue to be bound by the above condition.

For this purpose: "relevant conversion benefits" means any conversion benefits which I might become entitled to receive as a shareholding member or depositor with the Society at any time within the five years immediately following the date on which my share account is opened (or within such shorter period as the Society considers appropriate having regard to any particular class of person within which I may fall) except that, if I am also a borrowing member of the Society at the time of any transfer referred to below, I will not be obliged to assign any such benefits; "conversion benefits" means any benefits under the terms of any future transfer of the Society's business to a company (i.e. on conversion or take-over) except the statutory right to have shares in the Society (including any balances on share accounts) converted into deposits with the company; and, if the Society merges with any other society, after the date of such merger "Society" includes such other society.

- q) I declare that this application form has been completed to the best of my knowledge and belief.  
I authorise the Society to pass to the Foundation such information relating to me and my accounts with the Society as the Foundation may reasonably require in connection with my agreement to assign.

A list of the classes of persons which the Society wishes to be excluded from the obligation to assign (which list may change from time to time) is, and will be, available on request from the Society's Secretary at its Customer Support Centre.